

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

| APPLICATION NO.                        | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.   | CONFIRMATION NO. |
|--|-----------------|----------------------|-----------------------|------------------|
| 10/647,292                             | 08/26/2003      | Ryoji Watanabe       | 116940                | 2584             |
| 25944                                  | 7590 10/24/2005 |                      | EXAMINER              |                  |
| OLIFF & BERRIDGE, PLC                  |                 |                      | MACKEY, PATRICK HEWEY |                  |
| P.O. BOX 19928<br>ALEXANDRIA, VA 22320 |                 |                      | ART UNIT              | PAPER NUMBER     |
|  |                 |                      | 3651                  |                  |

DATE MAILED: 10/24/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.   | Applicant(s)    |  |  |  |  |
|---|---|-----------------|--|--|--|--|
| Office Action Summany   | 10/647,292  | WATANABE ET AL. |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit        |  |  |  |  |
|   | Patrick H. Mackey   | 3651            |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |                 |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                 |  |  |  |  |
| Status  |   |                 |  |  |  |  |
| 1)⊠ Responsive to communication(s) filed on 08 Au   | Responsive to communication(s) filed on 08 August 2005.   |                 |  |  |  |  |
|   | ·   |                 |  |  |  |  |
| 3) Since this application is in condition for allowan   | ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                 |  |  |  |  |
| ,—  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.                         |                 |  |  |  |  |
| Disposition of Claims   |   |                 |  |  |  |  |
|   |   |                 |  |  |  |  |
| 4) Claim(s) 1-15 is/are pending in the application.   |   |                 |  |  |  |  |
| 4a) Of the above claim(s) <u>11</u> is/are withdrawn from consideration.  |   |                 |  |  |  |  |
| 5) Claim(s) is/are allowed.   |   |                 |  |  |  |  |
| 6) Claim(s) 1-10 and 1215 is/are rejected.  |   |                 |  |  |  |  |
| ,,  | 7) Claim(s) is/are objected to.   |                 |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.   |   |                 |  |  |  |  |
| Application Papers  |   |                 |  |  |  |  |
| 9) The specification is objected to by the Examiner.  |   |                 |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) □ accepted or b) □ objected to by the Examiner.  |   |                 |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |                 |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |                 |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |                 |  |  |  |  |
| Priority under 35 U.S.C. § 119  | ·   |                 |  |  |  |  |
| 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  |   |                 |  |  |  |  |
|   |   | on No           |  |  |  |  |
| <ul><li>2. Certified copies of the priority documents have been received in Application No</li><li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li></ul>  |   |                 |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).   |   |                 |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |   |                 |  |  |  |  |
| dee the attached detailed office action for a list of the defining copies not received.   |   |                 |  |  |  |  |
|   |   |                 |  |  |  |  |
| Attachment(s)   |   |                 |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)   |   |                 |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date   |   |                 |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152)  6) Other:  |   |                 |  |  |  |  |
| Paper No(s)/Nati Date 0/ □ Otter  |   |                 |  |  |  |  |

Art Unit: 3651

#### **DETAILED ACTION**

1. The amendment filed 8/8/2005 has been entered.

### Requirement for Information Under 37 CFR §1.105

- 2. In view of the newly discovered article entitled "Bridging Physical and Virtual Worlds with Electronic Tags," written by authors associated with Xerox, that discusses a staple having a storage medium, the examiner restates the Requirement for Information from the last Office Action.
- 3. Applicant and the assignee of this are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.
- 4. The information is required to extend the domain of search for prior art. Limited amounts of art related to the claimed subject matter are available within the Office, and are generally found in classes 340, 270, and 227 which describe postprocessing devices and electrical communication devices. A broader range of art to search is necessary to establish the level of knowledge of those of ordinary skill in the claimed subject matter of a staple having a storage medium.
- 5. In response to this requirement, please provide the citation and a copy of each publication which any of the applicants authored or co-authored and publications describing inventions the assignee owns or that the assignee has knowledge and which describe the claimed subject matter of staple having a storage medium.
- 6. For each publication, please provide a concise explanation of the reliance placed on that publication in distinguishing the claimed subject matter from the prior art.

Art Unit: 3651

7. The fee and certification requirements of 37 CFR §1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 CFR §1.105 that are included in the applicant's first complete communication responding to this requirement. Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirement under 37 CFR §1.105 are subject to the fee and certification requirements of 37 CFR 1.97.

- 8. In responding to those requirements that require copies of documents, where the document is a bound text or a single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter indicated, the subject matter found in applicant's disclosure.
- 9. The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or cannot readily obtain an item of required information, a statement that the item is unknown of cannot be readily obtained will be accepted as a complete response to the requirement for that item.
- 10. At this time the examiner does not wish to engage in a discussion of semantics relating to the assignee's compliance with this Requirement for Information. The assignee is required to provide the citation and a copy of each publication the assignee owns or that the assignee has knowledge and which describe the claimed subject matter of staple having a storage medium.

Claim Rejections - 35 USC § 102

Art Unit: 3651

11. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 12. Claims 1-10 and 12-15 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Want et al. ("Bridging Physical and Virtual Worlds with Electronic Tags"). See especially page 373 paragraphs 3-5.
- 13. Claims 1-3 and 9-10 are rejected under 35 U.S.C. 102(b) as being anticipated by Ferrara. Ferrara discloses a postprocessing apparatus that includes an attachment unit that attaches staple needles to an image forming member.

## Claim Rejections - 35 USC § 103

- 14. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 15. Claims 4-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ferrara in view of Want et al. (US 6,008,727). Ferrara discloses all the limitations of the claims, but it does not disclose a data writing unit or a communication interface. However, Want discloses a similar device that includes an IC chip on a document securing instrument (see col. 7, lines 3-5 "paper clip"), a data writing unit (20, see col. 8, lines 54-58 and col. 14, lines 17-44) and a communication interface (14, 18) for the purpose of providing an electronic copy of the document with the hard copy (see col. 14, lines 30-35). It would have been obvious for a person

Art Unit: 3651

of ordinary skill in the art at the time of the applicant's invention to modify Ferrara by utilizing an IC chip on a the document securing staple, a data writing unit and a communication interface, as disclosed by Want, for the purpose of providing an electronic copy of the document with the hard copy.

#### Conclusion

16. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick H. Mackey whose telephone number is (571) 272-6916. The examiner can normally be reached on Tuesday-Friday 7:00 a.m. - 5:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gene Crawford can be reached on (571) 272-6911. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3651

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patrick H. Mackey Primary Examiner Art Unit 3651

October 17, 2005